SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Per <u>1 R.</u>	son*	2. Issuer Name and Ticker or Trading Symbol SHOTSPOTTER, INC [ SSTI ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner							
(Last) (First) (Middle) 39300 CIVIC CENTER DR., SUITE 300			3. Date of Earliest Transaction (Month/Day/Year) 03/10/2023	X Officer (give title Other (specify below) Chief Financial Officer							
39			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street) FREMONT	СА	94538		X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/10/2023		S		310(1)	D	\$35.2627(2)	112,356	D	
Common Stock								4,750	Ι	By 401k Plan <sup>(3)</sup>
Common Stock								3,750	I	By 401k Plan <sup>(4)</sup>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and Amount of Securities Underlying 8. Price of Derivative 1. Title of 3. Transaction 3A. Deemed Execution Date 5. Number of 11. Nature of Indirect 9. Number of 10 Derivative Conversion Date Transaction Derivative Expiration Date derivative Ownership Security (Instr. 3) or Exercise (Month/Dav/Year if anv Code (Instr. Securities (Month/Dav/Year) Derivative Security Security Securities Form: Beneficial (Month/Day/Year) (Instr. 3 and 4) Price of 8) Acquired (A) (Instr. 5) Beneficially Direct (D) Ownership or Disposed of (D) (Instr. 3, 4 Derivative Owned or Indirect (Instr. 4) Security Following (I) (Instr. 4) and 5) Reported Transaction(s) Amount (Instr. 4)

Date

Exercisable

Expiration

Title

. Date

Explanation of Responses:

1. The Reporting Person made a prior election to sell only the number of shares of common stock necessary to cover applicable tax withholding obligations realized upon the vesting of restricted stock units, as well as any related brokerage commission fees.

(D)

2. The shares were sold at prices ranging from \$35.235 to \$35.29. The Reporting Person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. Shares are held by Alan R. Stewart 401k Plan.

4. Shares are held by Jennifer K. Stewart 401k Plan.

Remarks:

/s/ Alan R. Stewart

\*\* Signature of Reporting Person

Number

of Shares

03/15/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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